



Attorney Docket No.: 109.001

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CAMPBELL et al.

Serial No.: 10/064,741

Filing Date: 08/12/2002

For: DIGITAL RIGHTS MANAGEMENT

)
)
) Examiner: not yet assigned

)
) Group Art Unit: not yet assigned

)
) Attorney Docket No.: 109.001

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

FEB 18 2004

GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

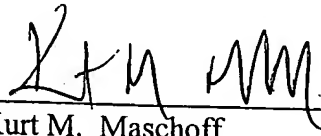
This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with the above-identified application.

Respectfully submitted,

August 23, 2002

Date

A handwritten signature in black ink, appearing to read "Kurt M. Maschoff", written over a horizontal line.

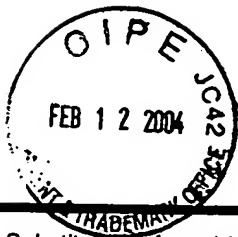
Kurt M. Maschoff

Registration No. 38,235

Buckley, Maschoff, Talwalkar, & Allison LLC
5 Elm Street

New Canaan, CT 06840

(203) 972-0081



Attorney Docket No.: 109.001

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

6

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/064,741 |
| Filing Date | August 12, 2002 |
| First Named Inventor | CAMPBELL |
| Group Art Unit | not yet assigned |
| Examiner Name | not yet assigned |
| Attorney Docket Number | 109.001 |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|--|----------------|
| | G | Recourse Technologies: "The Evolution of Deception Technologies as a Means for Network Defense", 11pgs. | |
| | H | Recourse Technologies: "Honeypot Effectiveness Study", by Glogal Integrity Corporation, September 22, 2000, 11pgs. | |
| | I | Jelena Mirkovic, Peter Reiher and Gregory Prier, "A Source Router Approach to DDoS Defense", 16pgs. | |
| | J | Chen, Andrew H. and Schroeder, Andrew M., "A Modified Depensation Model for Peer to Peer Networks: Systemic Catastrophes and other Potential Weaknesses", December 17, 2001, Term Paper, 18pgs. | |
| | K | Slashdot, "Spoofing P2P Networks as Marketing Plot", download from http://slashdot.org/article.pl?sid=02/06/10/1852237&mode=thread&tid=141 on 07/10/2002. 22pgs. | |
| | L | MediaForce, Article, download from http://www.mediaforce.com on 07/09/2002. ©2001 All rights reserved. 12pgs. | |
| | M | King, Brad "File Tracker May Go Too Far", May 11, 2001, download from http://www.wired.com/news/print/0,1294,43714,00.html on 07/09/2002. 2pgs. © 1994-2002 Wired Digital Inc. All rights reserve. | |
| | N | Mariano, Gwendolyn "Box office hits pirated over Web", July 10, 2001, download from http://news.com.com/2100-1023-269743.html?legacy=cnet&tag=mn_hd on 07/09/2002. 2pgs. ©1995-2002 CNET Networks, Inc. All rights reserved. | |
| | O | Borland, John "File-trading pressure mounts on ISPs"- Tech News - CNET.com, July 25, 2001, download from http://news.com/2102-1033-270568.html on 07/09/2002. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

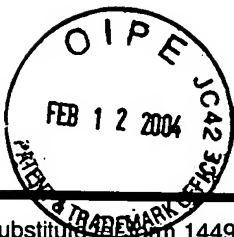
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

FEB 18 2004

GROUP 3600



Substitute Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

3

of

6

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/064,741 |
| Filing Date | August 12, 2002 |
| First Named Inventor | CAMPBELL |
| Group Art Unit | not yet assigned |
| Examiner Name | not yet assigned |
| Attorney Docket Number | I09.001 |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|--|----------------|
| | P | "SK - PR/News: SK.com Newsletter: Executive Interview [May 2002], download from http://www.sk.com/news/newsletter/current/essay_b.asp on 07/09/2002. 1pg. | |
| | Q | Gallivan, Joseph "NAPSTER BOOTLEGGERS IDENTIFIED", 05/03/2000, New York Post, ©2000, N.Y.P. Holdings, Inc. 1pg. | |
| | R | Chmielewski, Dawn C. "Music industry swamps swap networks with phony files", June 27, 2002. Download from http://www.siliconvalley.com/mld/siliconvalley/3560365.htm?template=contentModules/printstory.jsp on 07/09/2002. ©2001 siliconvalley and wire service sources. | |
| | S | Li, Sing - developerWorks: Java Technology: "Making P2P interoperable: The JXTA story - A hands on, working introduction to the latest P2P technology", August 2001, download from http://www-106.ibm.com/developerworks/java/library/j-p2pintl.html?t=gr.p=Jxta on 08/15/2002. 7pgs. | |
| | T | Edison Media Research, "The National Record Buyers Study II", June 10, 2002, download from http://www.edisonresearch.com/RecordBuyersIIPress.htm on 08/15/2002. © 1998-2002. 3pgs. | |
| | U | Mathews, Anna Wilde and Orwall, Bruce, "Industry to Sue People Abetting Net Song Swaps", Wall Street Journal, July 3, 2002, pg. B1, Col. 5. 2pgs. | |
| | V | Wingfield, Nick, "Behind the Fake Music: Record Industry Plants Decoys To Foil Fans of Free Web Tunes; A Decoy for Sheryl Crow", Wall Street Journal, July 11, 2002, pg. D1, D3, Col. 4 | |
| | W | Chmielewski, Dawn C., "Record Labels Open New Fire on Piracy", June 28, 2002, ©2002 KRTBN Knight Ridder Tribune Business News. 2pgs, download from http://www.bayarea.com/mld/bayarea/business3562276.htm on 8/19/2002. | |
| | X | Mathews, Anna Wilde, "The Studios Strike Back - Online Movie Swapping Raises the Ire of Hollywood; Fingering 'Repeat Infringers'", April 26, 2002, The Wall Street Journal, ©2002 Dow Jones & Company, Inc., 2pgs. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

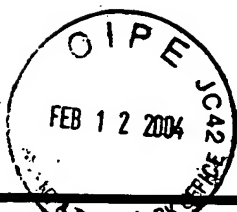
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

FEB 18 2004

GROUP 3600



Attorney Docket No.: I09.001

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

4

of

6

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/064,741 |
| Filing Date | August 12, 2002 |
| First Named Inventor | CAMPBELL |
| Group Art Unit | not yet assigned |
| Examiner Name | not yet assigned |
| Attorney Docket Number | I09.001 |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | Y | "Sabeus Closes C Round Funding Totaling More Than \$21 Million", ©2002, Business Wire. 2pgs. | |
| | Z | "Vidius Expands Market Presence in Asia; Alvus Announced as Master Distributor for Vidius Japan," ©2002, Business Wire. 2pgs. | |
| | AA | TheLAW.com - "Software Coders Work to Trade Music without Using Napster", Knight Ridder/Tribune, October 3, 2000, download from http://64.225.202.40/mediadefender/press%20about%20MD/TheLAW.com.htm on 08/16/2002 | |
| | AB | Wheeler, Marilyn, "Dr. Dre to deliver names to Napster", May 9, 2000, ZDNet News, download from http://zdnet.com.com/2102-11-520620.html on 8/16/2002. 2pgs. | |
| | AC | Healey, Jon "Pirates Make New Use of Old Technology", 03/01/2002, Los Angeles Times, Home Edition. ©2002 The Times Mirror Company. 2pgs. | |
| | AD | Healey, Jon "New Technologies Target Swapping of Bootlegged Files", 02/20/01, Los Angeles Times, Home Edition, ©2001 The Times Mirror Company. 2pgs. | |
| | AE | Goodley, Simon "City - Connected - Film pirates face legal clampdown Movie-makers aim to protect copyright, reports...", 01/15/2002, The Daily Telegraph, Telegraph Group Limited, London, 2002. 3pgs. | |
| | AF | Flathmann, Jessica "Students Warned About Internet; Officials Say Violating Copyrights Could Bring Suspension, Prosecution;", 11/20/2001, ©2001 The Charlotte Observer. 2pgs. | |
| | AG | "Reciprocal And NetPD Forge Strategic Alliance To Foster Digital Commerce and Combat Internet Piracy", 05/30/2001, © 2001 Business Wire. 2pgs. | |

Examiner
SignatureDate
Considered

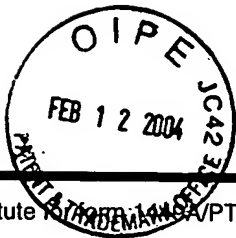
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

FEB 18 2004

GROUP 3600



Attorney Docket No.: 109.001

| | | | | | |
|--|---|----|---|--------------------------|------------------|
| Substitute Form 1001-1406A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/064,741 |
| | | | | Filing Date | August 12, 2002 |
| | | | | First Named Inventor | CAMPBELL |
| | | | | Group Art Unit | not yet assigned |
| | | | | Examiner Name | not yet assigned |
| Sheet | 5 | of | 6 | Attorney Docket Number | 109.001 |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | AH | "City - dotcom telegraph - Studios fear the internet pirates", 06/25/2002, The Daily Telegraph, © Telegraph Group Limited, London, 2002. | |
| | AI | Watson, Stephen "Music Cops; The Recording Industry wants to curb downloading music from the internet, so it's asking colleges to police computer use. But music lovers are staying a step ahead", 03/20/2002, ©2002, Buffalo News. 3pgs. | |
| | AJ | Trefgarne, George "City - 'They're playing our tune' says EMI boss Record head relaxed as internet pirates steal sales, 05/22/2002, The Daily Telegraph, © Telegraph Group Limited, London, 2002. 2pgs. | |
| | AK | Edited by Rupert Steiner, "NETPD", 04/14/2002, Sunday Times - London, © Times Newspapers Ltd, 2002. 1pg. | |
| | AL | Suzukamo, Leslie Brooks "Internet theft threatens film studios", 05/29/2002, The Star-Ledger Newark, NJ ©2002. 1pg. | |
| | AM | Evangelista, Benny "Pirated previews / DivX program makes movie bootlegs easier to distribute - and that has Hollywood worried", 05/10/2001, © 2001 The San Francisco Chronicle. 3pgs. | |
| | AN | Myers Jr., George "Teen's site carries on free-music fight", 04/04/2001, The Columbus Dispatch, Home Final, ©2001 Columbus Dispatch. 3pgs. | |
| | AO | Healey, John "Company Town Gnutella Targeted for Piracy Control Music: Unlike Napster, the decentralized network cannot be sued by record labels. The RIAA is seeking detection technology", 03/29/2001, Los Angeles Times, Home Edition, © 2001 The Times Mirror Company. 2pgs. | |
| | AP | Healey, Jon "File-Sharing Firms Feud Over Users Internet: Kazaa.com recruits from Morpheus' network after glitch prevents some from using the service", 03/02/2002, Los Angeles Times, Home Edition, ©2002 The Times Mirror Company. 2pgs. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

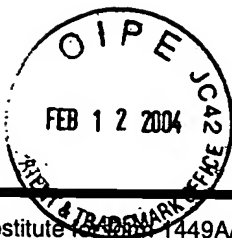
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

FEB 18 2004

GROUP 3600



Attorney Docket No.: I09.001

Substitute 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

6

of

6

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/064,741 |
| Filing Date | August 12, 2002 |
| First Named Inventor | CAMPBELL |
| Group Art Unit | not yet assigned |
| Examiner Name | not yet assigned |
| Attorney Docket Number | I09.001 |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | AQ | Suzukamo, Leslie Brooks "Movie types try to scuttle or find a way to control the downloading craze", 06/11/2002, ©2002, The Kansas City Star. 2pgs. | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

RECEIVED

FEB 1 8 2004

GROUP 3600